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**From:** Gopi Biju  
**Sent:** January 31, 2007 12:10 PM  
**To:** Gopi Biju  
**Subject:** FW: Announcement: Pre-ballot Windows and Ballot Pools for Version 1 Risk Factors Open  
January 29-February 13, 2007

**[IESO Communication on Standards – Pre-ballot review and subsequent balloting on Violation Risk Factors for Version 1 Standards](#)**

NERC, as indicated in the attached message, has announced that the **pre-ballot window** for the Version 1 Violation Risk Factors (VRFs) will be open from January 29, 2007 through February 13, 2007. **This is an expedited, 15-day, pre-ballot pool review period.** This will be followed by the **ballot** for these VRFs, which will be conducted from 8 a.m. EST on Wednesday, February 14 through 8 p.m. EST Saturday, February 24, 2007.

The Version 1 Violation Risk Factors that are currently posted for the pre-ballot review represent the weighted average of the stakeholder selections from the second posting of the [Version 1 VRF survey](#), which took place November 2, 2006 through December 1, 2006. The second survey asked stakeholders to select a violation risk factor for each requirement in each board-approved Version 1 standard.

The IESO provides this information to not only support complete understanding but also to support the development of the NERC/ERO standards and processes which are applicable to all North American Entities and Market Participants. Please review the documents and provide your comments and/or concerns if any, either to the IESO or to NERC directly through the ballot pool registration process. In order to better understand the impacts of these VRFs as these will be used to determine penalties and sanctions for a violation of the associated requirement, and share any concerns or comments, a conference call or a meeting group could be facilitated by the IESO if required. Also, please let us know if you require additional information or clarification.

The IESO will provide you with its position on all the Violation Risk Factor Ballot Pools, as soon as it is finalized internally.

Regards,

**Biju Gopi,**  
**Reliability Standards & Assessments,**  
**Independent Electricity System Operator,**