



**Market Manual 1: Market Entry,
Maintenance & Exit**

**Part 1.0: Market Entry,
Maintenance & Exit
Overview**

Issue 12.0

This document provides *IESO market participants* with an overview of the procedures contained in the “Market Entry, Maintenance & Exit Manual” and the timelines for *IESO* and *market participant* actions related to those procedures.

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(**The content of this page must be removed before the document is released to the public. This section is only pertinent to IESO, not the public. **)

Document Change History

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Related Documents

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MDP_RUL_0002	Market Rules
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Table of Changes

Reference (Paragraph and Section)	Description of Change
Throughout	Change PKI Operations Guide to Identity Management Operations Guide
Section 2.1	3 rd bullet remove extra word “to” before second occurrence of the word “ceasing”

1. Market Manuals

The *market manuals* consolidate the market procedures and associated forms, standards, and policies that define the operation of the various areas within the *IESO-administered markets*. Market procedures provide more detailed descriptions of the requirements for various activities than are specified in the “Market Rules”. Where there is a discrepancy between the requirements in a document within a *market manual* and the “Market Rules”, the “Market Rules” shall prevail. Standards and policies appended to, or referenced in, these procedures provide a supporting framework.

– End of Section –

2. About This Manual

This document is Volume 1.0 of the *market manuals*, and provides an overview of “Market Manual 1: Market Entry, Maintenance and Exit”.

The *Market Entry, Maintenance and Exit Manual* is composed of the following document set:

Document ID	Part No.	Name of Document
MDP_PRO_0015	1.0	Market Entry, Maintenance & Exit Overview
MDP_PRO_0014	1.1	Participant Authorization, Maintenance & Exit
MDP_PRO_0016	1.2	Facility Registration, Maintenance & De-registration
IMP_GDE_0088	1.3	Identity Management Operations Guide
MDP_AGR_0012		Participation Agreement

2.1 Purpose

This “Market Entry, Maintenance & Exit Overview” is designed to provide applicants and *market participants* with a brief overview of the *IESO-administered markets* and the process to be followed by:

- an applicant intending to either participate in those markets or to cause or permit electricity to be conveyed into or out of the *IESO-controlled grid*;
- a *market participant* maintaining the participation information provided to the *IESO* as part of the *market participant* authorization and *facility* registration processes; and
- a *market participant* ceasing to participate in those markets or ceasing to cause or permit electricity to be conveyed into or out of the *IESO-controlled grid*.

2.2 Scope

The information provided in “Market Entry, Maintenance & Exit” addresses the interactions that take place between an applicant, or *market participant*, and the *IESO* during the various processes involved in entering the *IESO-administered markets*, maintaining participation information or exiting the *IESO-administered markets*. Procedures on a specific subject or process area are provided in the *market manual*, with associated forms and agreements referenced where appropriate.

“Market Entry, Maintenance & Exit” is not intended for use as stand-alone training material for applicants or *market participants*, nor does it address internal activities undertaken by either the *IESO* or other organizations, except where they directly impact other parties.

A key element of participating in the *IESO-administered markets* is establishing and maintaining the required *metering installations* to enable market *settlement* to occur. The procedures for registering, maintaining and de-registering *metering installations* are addressed in “Market Manual 3: Metering”.

2.3 Who Should Use This Manual

“Market Entry, Maintenance & Exit” is intended for organizations undertaking the following activities:

- applicants seeking authorization as a *market participant* within the *IESO-administered markets*;
- authorized *market participants* seeking to register *facilities* with the *IESO*;
- authorized *market participants* seeking to maintain *market participant* authorization and/or *facility* registration information on an on-going basis;
- authorized *market participants* seeking to de-register *facilities* with the *IESO*; and
- authorized *market participants* seeking to withdraw from the *IESO-administered markets*.

2.4 Conventions

The standard conventions followed for *market manuals* are as follows:

- The word ‘shall’ denotes a mandatory requirement;
- Terms and acronyms used in this *market manual* including all Parts thereto that are italicized have the meanings ascribed thereto in Chapter 11 of the “Market Rules”;
- Double quotation marks are used to indicate titles of legislation, publications, forms and other documents.

– End of Section –

3. Procedures Summary

3.1 Interrelationship of the Procedures

Figure 3–1, below, shows the composition of “Market Entry, Maintenance & Exit”, how the procedures trigger events, and how other *market manuals* interrelate in terms of the market entry process:

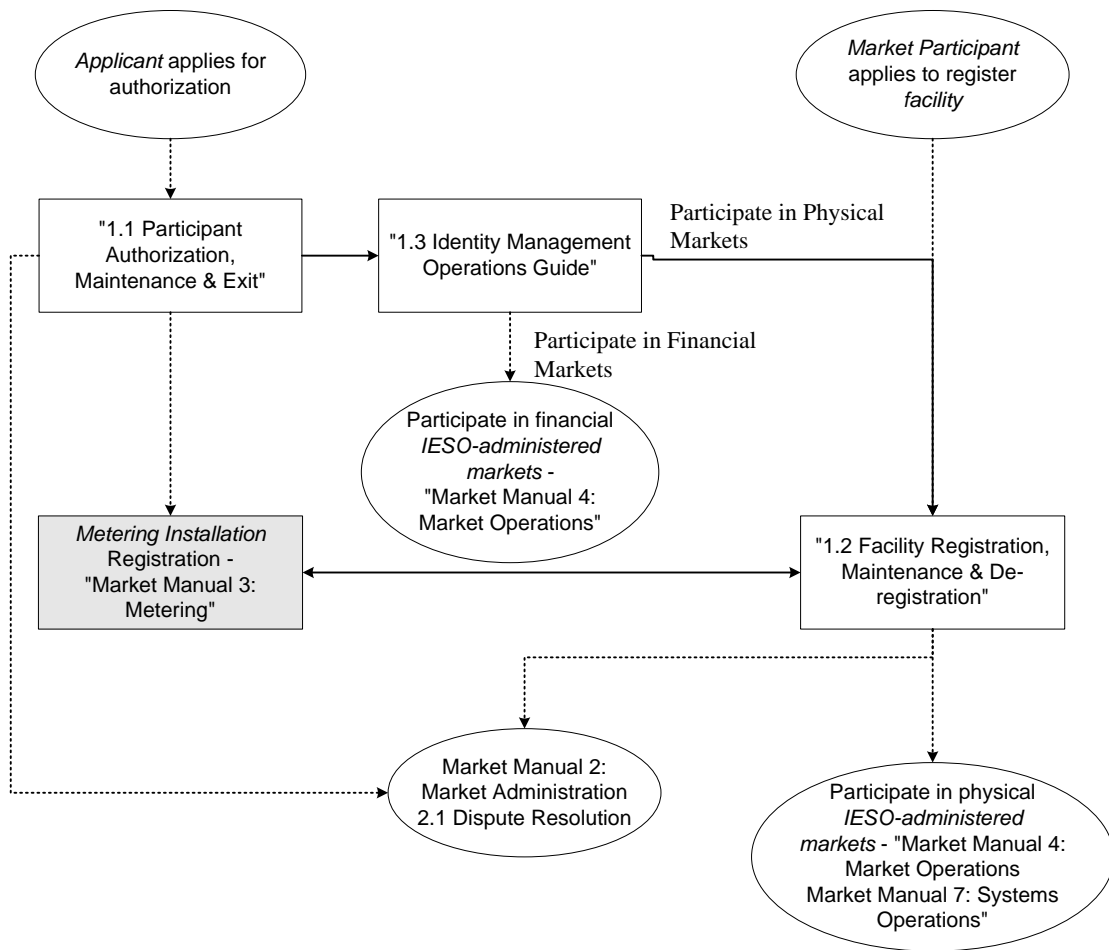


Figure 3–1: Interrelationships of “Market Entry, Maintenance & Exit” procedures and other market manuals during market entry

3.2 About the Procedures in This Manual

3.2.1 Market Entry

The market entry process consists of three distinct activities, each of which is addressed in a separate market procedure. These are shown in Figure 3–2.

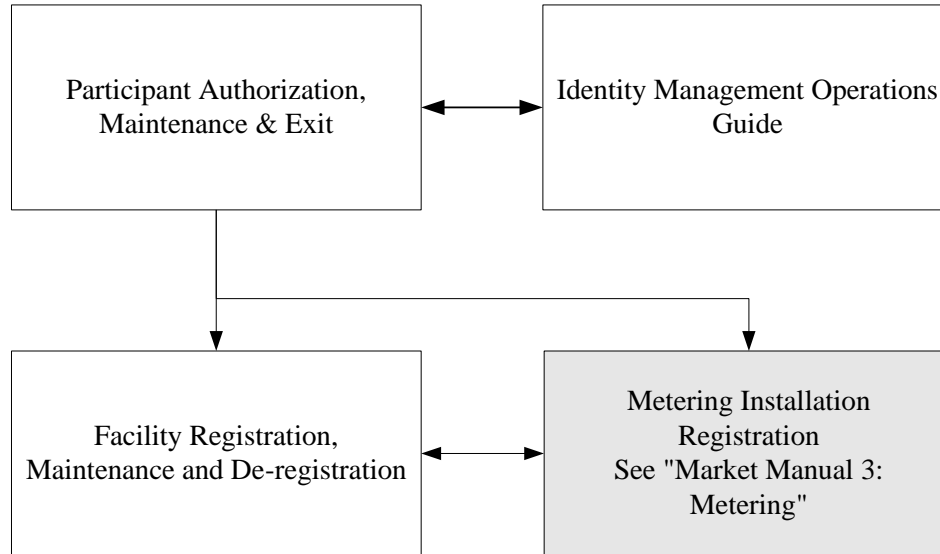


Figure 3–2: Market Entry Overview

The first two of these processes, *market participant* authorization, must be undertaken by any organization that wishes to participate in the *IESO-administered markets* or to cause or permit electricity to be conveyed into or out of the *IESO-controlled grid*. In particular, securing a digital certificate is central to establishing a secure communications mechanism with the *IESO*.

The other two processes, registration of *metering installations* and registration of *facilities*, will only be undertaken by *market participants* who wish to (or are required to) register assets with the *IESO*.

At each stage of the market entry process, the *IESO* will assess the application in terms of:

- **Legal requirements:** specific agreements signed between the *IESO* and the applicant, and between the applicant and third parties, such as *transmitters*;
- **Technical requirements:** the applicant’s ability to meet the various technical requirements set out in the “Market Rules”;
- **Business obligations:** demonstration that an applicant is able to meet the various business obligations set out in the “Market Rules”; and
- **Prudential requirements:** calculation and/or posting of the required funds with the *IESO*, in accordance with the applicant’s intended market exposure.

The decision as to whether a *market participant* is required to register a *facility* or a *metering installation* is determined by the assets it currently operates, and its intended role within the *IESO-administered markets*. The procedures within this manual identify the criteria against which an organization can judge whether they wish to, or are required to, register a specific asset.

3.2.2 Market Maintenance

The maintenance of the participation information provided as part of the market entry process described in the previous section is undertaken as part of a maintenance activity. This is set out in “Market Manual 1: Market Entry, Maintenance & Exit, Part 1.1: Participant Authorization, Maintenance and Exit” and “Part 1.2: Facility Registration, Maintenance and De-registration” within this manual, and in “Market Manual 3: Metering”, in the case of *metering installation* information.

3.2.3 Market Exit

Market participants wishing to withdraw from the *IESO-administered market* must first de-register any *facilities* that they have previously registered with the *IESO*, as described in detail in “Market Manual 1: Market Entry, Maintenance & Exit, Part 1.2: Facility Registration, Maintenance and De-registration”. This manual also addresses the processes where the *IESO* seeks to de-register a *facility* for reasons of non-compliance to the *market rules* and/or remove a *market participant* from the *IESO-administered markets*, for the same reason.

– End of Section –

4. Applicability of Procedures

The table below summarizes the most common situations or events that are likely to trigger activities described in this *market manual*. To use this table, select the event that most closely matches your area of interest under the “Event” column, and then refer to the appropriate Procedures document listed in the “Procedure” column. A list of all Procedures documents that comprise this *market manual* is found in Section 2 of this document.

Table 4–1: Events and Procedures

Event	Procedure
Intent to apply for authorization to participate in the <i>IESO-administered markets</i> Maintain <i>market participant</i> information Withdraw from participating in the <i>IESO-administered markets</i>	Market Manual 1: Market Entry, Maintenance & Exit, Part 1.1 Participant Authorization, Maintenance & Exit
<i>Market participant</i> wishes to register a <i>facility</i> with the <i>IESO</i> Maintain <i>facility</i> information De-register a <i>facility</i> with the <i>IESO</i>	Market Manual 1: Market Entry, Maintenance & Exit, Part 1.2 Facility Registration, Maintenance & De-Registration
Apply for a digital certificate Maintain digital certificate information Withdraw from participating in the <i>IESO-administered markets</i>	Market Manual 1: Market Entry, Maintenance & Exit, Part 1.3 Identity Management Operations Guide

– End of Document –